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# Leaf chlorophyll content as a proxy for leaf photosynthetic capacity

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## Abstract

Improving the accuracy of estimates of forest carbon exchange is a central priority for understanding ecosystem response to increased atmospheric CO<sub>2</sub> levels and improving carbon cycle modelling. However, the spatially continuous parameterization of photosynthetic capacity (V<sub>cmax</sub>) at global scales and appropriate temporal intervals within terrestrial biosphere models (TBMs) remains unresolved. This research investigates the use of biochemical parameters for modelling leaf photosynthetic capacity within a deciduous forest. Particular attention is given to the impacts of seasonality on both leaf biophysical variables and physiological processes, and their interdependent relationships. Four deciduous tree species were sampled across three growing seasons (2013–2015), approximately every 10 days for leaf chlorophyll content (Chl<sub>Leaf</sub>) and canopy structure. Leaf nitrogen (N<sub>Area</sub>) was also measured during 2014. Leaf photosynthesis was measured during 2014–2015 using a Li-6400 gas-exchange system, with A-Ci curves to model V<sub>cmax</sub>. Results showed that seasonality and variations between species resulted in weak relationships between V<sub>cmax</sub> normalized to 25°C (V<sub>cmax25</sub>) and N<sub>Area</sub> ( $R^2 = 0.62$ ,  $P < 0.001$ ), whereas Chl<sub>Leaf</sub> demonstrated a much stronger correlation with V<sub>cmax25</sub> ( $R^2 = 0.78$ ,  $P < 0.001$ ). The relationship between Chl<sub>Leaf</sub> and N<sub>Area</sub> was also weak ( $R^2 = 0.47$ ,  $P < 0.001$ ), possibly due to the dynamic partitioning of nitrogen, between and within photosynthetic and nonphotosynthetic fractions. The spatial and temporal variability of V<sub>cmax25</sub> was mapped using Landsat TM/ETM satellite data across the forest site, using physical models to derive Chl<sub>Leaf</sub>. TBMs largely treat photosynthetic parameters as either fixed constants or varying according to leaf nitrogen content. This research challenges assumptions that simple N<sub>Area</sub>–V<sub>cmax25</sub> relationships can reliably be used to constrain photosynthetic capacity in TBMs, even within the same plant functional type. It is suggested that Chl<sub>Leaf</sub> provides a more accurate, direct proxy for V<sub>cmax25</sub> and is also more easily retrievable from satellite data. These results have important implications for carbon modelling within deciduous ecosystems.

Keywords: carbon cycle, ecosystem modelling, J<sub>max</sub>, leaf nitrogen, remote sensing, V<sub>cmax</sub>

## Introduction

There is currently considerable uncertainty in the nature of the sinks, sources and distribution of carbon exchange between the atmosphere and the terrestrial biosphere (IPCC 2013; Brien *et al.*, 2015). Land-atmosphere CO<sub>2</sub> exchange varies nonlinearly in response to a range of biotic and abiotic drivers, hampering modelling efforts, both under current conditions and also for future projections. Forests are estimated to contain a net global forest carbon sink of 1.1 ( $\pm 0.8$ ) Pg C year<sup>-1</sup> (Pan *et al.*, 2011), which resides predominantly in temperate and boreal forests, and represents an important part of the global carbon cycle. Photosynthesis facilitates 90% of carbon and water fluxes within the biosphere-atmosphere system (Joiner *et al.*, 2011), through the conversion of solar radiation into chemical energy. However, plant photosynthetic rates respond quickly to changes in environmental conditions, causing rates to be highly variable even at annual timescales, and its behaviour difficult to forecast in the light of a changing climate (IPCC 2013). The importance of photosynthetic carbon uptake to carbon budgets has made photosynthesis rates a key source of uncertainty in modelling the global carbon cycle, due to the difficulty in providing accurate estimates over large spatial extents.

Terrestrial biosphere models (TBMs) are the principal means for providing regional and global estimates of terrestrial carbon cycling (Beer *et al.*, 2010). TBMs typically include a photosynthesis scheme using an enzyme kinetic model developed by Farquhar *et al.* (1980), according to the parameterization of atmospheric CO<sub>2</sub> concentration, photosynthetic capacity and leaf temperature (Kattge *et al.*, 2009). Photosynthetic capacity is defined in terms of the maximum rate of carboxylation (V<sub>cmax</sub>) and the maximum rate of electron transport (J<sub>max</sub>). V<sub>cmax</sub> describes the intrinsic photosynthetic capacity of the leaf, according to the amount, activity and kinetics of the RuBisCo (ribulose 1,5-bisphosphate carboxylase/oxygenase) enzyme (Grassi *et al.*, 2005). J<sub>max</sub> determines the rate of Ribulose 1,5-bisphosphate (RuBP) regeneration, via the electron transport chain (von Caemmerer & Farquhar, 1981; Sharkey *et al.*, 2007). A large source of uncertainty in modelled carbon predictions arises from the sensitivity of photosynthesis rates to leaf photosynthetic capacity. Additionally, research has shown that V<sub>cmax</sub> varies considerably within and between vegetation species, according to environmental controls (Xu & Baldocchi, 2003; Groenendijk *et al.*, 2011). Despite this sensitivity of photosynthesis rates to leaf photosynthetic capacity, most models assume a fixed V<sub>cmax</sub> value (normalized to 25°C; V<sub>cmax25</sub>) over time, according to plant functional type (PFT) (Zhang *et al.*, 2014). However, for the same PFT, V<sub>cmax25</sub> can vary by a factor of 2–3, causing large errors in modelled photosynthesis estimates, particularly for regions or ecosystems with a large seasonal range (e.g. deciduous forests) or in areas prone to drought (Grassi *et al.*, 2005; Dillen *et al.*, 2012; Medvigy *et al.*, 2013). Progress in using accurate photosynthetic capacity values within TBMs has largely been hindered by the difficulty in

obtaining spatially continuous  $V_{\text{cmax}25}$  values at global scales (Kattge *et al.*, 2009). Direct retrievals of  $V_{\text{cmax}}$ , through gas-exchange measurements, are time-consuming and restricted to the leaf scale, which ultimately results in a relative paucity of measured data over a complete range of species and environmental conditions. At the canopy scale,  $V_{\text{cmax}}$  is more commonly modelled from eddy covariance flux measurements and meteorological data (Wang *et al.*, 2007).

To avoid using a fixed constant for  $V_{\text{cmax}25}$ , a common approach is to exploit relationships between more easily measurable plant functional traits (e.g. leaf phosphorus, leaf nitrogen ( $N_{\text{Leaf}}$ ), specific leaf area (SLA)) and photosynthetic capacity (Walker *et al.*, 2014). Thus far, most interest has focused on  $N_{\text{Leaf}}$  (Kattge *et al.*, 2009), because nitrogen is a major constituent of RuBisCo and the light-harvesting complexes that also modulate photosynthesis (Niinemets & Tenhunen, 1997). TBMs therefore often parameterize  $V_{\text{cmax}}$  according to predefined relationships with  $N_{\text{Leaf}}$ , for a given PFT (Dietze, 2014). However, retrieving  $N_{\text{Leaf}}$  over large spatial scales has proved complex (Knyazikhin *et al.*, 2013). In contrast, there has been relatively little research into relationships between photosynthetic capacity and other biochemical photosynthetic components, such as leaf chlorophyll ( $\text{Chl}_{\text{Leaf}}$ ) or carotenoid content, in the context of process-based ecosystem modelling. Chlorophyll is responsible for light harvesting in photosynthesis, resulting in the excitation of electrons that are used to drive the production of nicotinamide adenine dinucleotide phosphate (NADPH) and chemical energy in the form of adenosine triphosphate (ATP), for the reactions of the Calvin-Benson cycle. Houborg *et al.* (2013) used semi-empirical relationships to model  $V_{\text{cmax}}$  from chlorophyll, according to the fraction of leaf nitrogen in RuBisCo as an intermediary. Dillen *et al.* (2012) showed a correlation between a spectral reflectance index ( $\lambda\text{RE}$ ) sensitive to chlorophyll content and  $V_{\text{cmax}}$  at a deciduous forest. Other studies have related  $\text{Chl}_{\text{Leaf}}$  to vegetation productivity using light use efficiency (LUE)-based approaches (Gitelson *et al.*, 2006; Croft *et al.*, 2015a; Schull *et al.*, 2015). The strong correlation that has been reported between  $\text{Chl}_{\text{Leaf}}$  and  $N_{\text{Leaf}}$  (Sage *et al.*, 1987) has also led to suggestions that  $\text{Chl}_{\text{Leaf}}$  can be used as an operational proxy for  $N_{\text{Leaf}}$  (and thus  $V_{\text{cmax}25}$ ) (Homolova *et al.*, 2013).

Remotely sensed data offer the unique potential of deriving spatially continuous plant physiological information at global scales (Hilker *et al.*, 2008). Previous efforts using remote sensing products to improve modelled estimates of carbon fluxes from terrestrial ecosystems have focussed on using vegetation indices such as the biomass-sensitive NDVI or EVI as indicators of canopy greenness (Turner *et al.*, 2003) or using imaging spectroscopy to map foliar traits (Serbin *et al.*, 2015). Efforts to map  $V_{\text{cmax}}$  directly are less well-developed, although promising new research has demonstrated the potential of solar-induced fluorescence for such purposes, using sensors such as GOME-2 (Zhang *et al.*, 2014). However, these measurements are only available at a restrictively coarse spatial resolution

(40 × 80 km<sup>2</sup>). Direct retrievals of photosynthetic capacity from optical remote sensing data based on sound underlying mechanical principles are still emerging. This research aims to investigate the potential of leaf biochemistry at leaf and canopy levels to produce spatially continuous maps of key photosynthetic parameters for improved ecosystem modelling. The specific objectives of the research were to 1) examine the relationship between photosynthetic capacity with  $\text{Chl}_{\text{Leaf}}$  and  $\text{N}_{\text{Leaf}}$  in a temperate deciduous forest and 2) assess the suitability of using  $\text{Chl}_{\text{Leaf}}$  and  $\text{N}_{\text{Leaf}}$  to derive  $V_{\text{cmax}}$  over large spatial scales from remote sensing data.

## Materials and methods

### Field site description

The Borden Forest Research Station is a mixed temperate forest located in southern Ontario (44°19'N, 79°56'W) within the Great Lakes/St. Lawrence forest region (Froelich *et al.*, 2015). This ecotone extends across eastern North America between 44 and 47° N and represents a region of ecological importance. It is a transition zone between southern temperate forest species and northern boreal species, and has been identified as susceptible to environmental change through northward migrations of tree species (Leithead *et al.*, 2010). The mean annual temperature at the site is approximately 7.4°C, and mean annual total precipitation is 784 mm (Froelich *et al.*, 2015). The mean canopy height is 22 m, with dominant species including red maple (*Acer rubrum*), eastern white pine (*Pinus strobus*), bigtooth aspen and trembling aspen (*Populus grandidentata* and *Populus tremuloides*) and white ash (*Fraxinus americana*) (Lee *et al.*, 1999; Teklemariam *et al.*, 2009).

### Leaf biochemistry and leaf area index measurements

Leaves were sampled from the upper canopy of four tree species (red maple, bigtooth aspen, trembling aspen and white ash), directly from a 44-m flux tower located at the site. From each species, five leaves were sampled approximately every 10 days during the 2013–2015 growing seasons for biochemical analysis. The sampled branches were tagged to ensure repeatable measurements through the growing season. Leaf samples were sealed in plastic bags and kept at a temperature of 0°C for subsequent biochemical analysis to extract  $\text{Chl}_{\text{Leaf}}$ . Foliar chlorophyll was extracted using spectra-analysed grade *N,N*-dimethylformamide, and absorbance was measured at 663.8, 646.8 and 480 nm using a Shimadzu UV-1700 spectrophotometer (Wellburn, 1994; Croft *et al.*, 2013, 2014a). The measured  $\text{Chl}_{\text{Leaf}}$  values for each species were calculated as mean values from the five leaf samples per species collected on each sampling date. As  $\text{Chl}_{\text{Leaf}}$  was measured from leaves sampled from the top of the canopy, values represent the maximum leaf chlorophyll potential for a given date leaves (Zhang *et al.*, 2007). Nitrogen content was also measured on the same leaf samples as used for  $\text{Chl}_{\text{Leaf}}$  determination during the 2014 season. Leaf samples were dried at 80°C for 48 h, ground to a powder using a Wiley mill

and analysed on an ECS 4010 Elemental Combustion System for CHNS-O analysis (Costech Analytical Technologies, Valencia, California).

Leaf area index (LAI) and canopy structural parameters were measured on the same days as leaf sampling. Effective LAI ( $L_e$ ) measurements were obtained using the LAI-2000 plant canopy analyser (Li-Cor, Lincoln, NE, USA), following the methods outlined by Chen *et al.* (1997), and converted to true LAI values as follows:

$$LAI = [(1 - \alpha)L_e\gamma_E]/\Omega_E \quad (1)$$

where  $\alpha$  is the ratio of woody area to total area,  $\gamma_E$  is the ratio of needle area to shoot area, and  $\Omega$  is the clumping index. The ratio of woody area to total area ( $\alpha = 0.17$ ) accounts for the interception of radiation by branches and tree trunks that results in artificially high LAI values and was obtained from the previously published values of similar deciduous stands (Gower *et al.*, 1999). For broadleaf species, individual leaves are considered foliage elements and  $\gamma_E$  is set at 1. The element clumping index ( $\Omega_E$ ) was measured using the TRAC (Tracing Radiation and Architecture of Canopies) instrument (Chen & Cihlar, 1995). Midseason  $\Omega_E$  values derived from TRAC ground measurements were typically circa 0.96. LAI-2000 and TRAC measurements were collected along a 100-m transect at 10-m intervals, extending from the flux tower in a north-south orientation.

#### Gas-exchange measurements and photosynthetic parameters

Field measurements of leaf-level gas exchange were carried out using the LI-6400 portable infrared gas analyzer (LI-COR, Lincoln, NE, USA) during the complete growing seasons of 2014 and 2015, immediately following budburst until leaf fall. Measurements were taken on the same day as field sampling for leaf biochemistry and LAI. Leaves from all four species were selected from the same top-of-canopy branches as the leaf samples used for chlorophyll and nitrogen analysis, and where possible repeat measurements of the same leaf were taken throughout the growing season. The LI-6400 was fitted with a 6400-02B Red/Blue Light Source, and  $CO_2$  response curves (A-Ci curves) were produced under light-saturating conditions, at photosynthetic photon flux density (PPFD) levels of  $1800 \mu mol m^{-2} s^{-1}$ , and stepwise  $CO_2$  concentrations of 400, 200, 100, 50, 400, 600, 800, 1000, 1200, 1500, 1800  $\mu mol CO_2 mol^{-1}$  air. Prior to logging measurements, leaves acclimated in the chamber at  $1800 \mu mol m^{-2} s^{-1}$ , ambient relative humidity, a temperature of  $25^\circ C$  and a  $CO_2$  concentration of  $400 \mu mol CO_2 mol^{-1}$  air. Throughout the measurement sequence, the leaf chamber was maintained as close to  $25^\circ C$  as possible (approximately  $\pm 1^\circ C$ ) and relative humidity kept between 40% and 80%. A complete A/Ci response curve took approximately 1 h to carry out. The photosynthetic parameters  $V_{cmax}$  and  $J_{max}$  were calculated from the A-Ci curves fitted using a curve-fitting tool developed by Kevin Tu ([www.landflux.org](http://www.landflux.org)) following Ethier & Livingston (2004) to the Farquhar biochemical model of leaf photosynthesis (Farquhar *et al.*, 1980). The fitted  $V_{cmax}$  and  $J_{max}$  parameters were scaled to a common reference

temperature of 25°C using the Arrhenius equation to facilitate comparability amongst existing data sets (Sharkey *et al.*, 2007; Sharkey, 2016).

#### Satellite processing and leaf chlorophyll retrieval algorithm

Cloud-free Landsat-5 TM, -7 ETM and -8 OLI atmospherically corrected reflectance products were obtained for the years 2013–2015. Scenes with missing data over the site resulting from the Landsat 7 ETM Scan Line Corrector (SLC) malfunction were not used in the analysis. From the Landsat canopy-level reflectance, foliar chlorophyll content was modelled using a canopy geometrical-optical model (Chen & Leblanc, 1997) linked with a leaf radiative transfer model (Jacquemoud & Baret, 1990), according to the algorithm detailed in Croft *et al.* (2013). The 4-scale model simulates the bidirectional reflectance distribution function (BRDF) based on canopy architecture at four scales: (1) tree groups, (2) tree crown geometry, (3) branches, and (4) foliage elements (Chen & Leblanc, 2001). A crown is represented as a complex medium, where reflected radiance from shaded components is determined by first-order scattering (separating sunlit and shaded components), and multiple scattering from subsequent interactions with vegetation or background material (Chen & Leblanc, 2001). The four-scale model was run in the forward mode, using fixed and variable structural parameters and leaf and understory reflectance spectra to model canopy reflectance and inverted using a look-up-table (LUT) approach (Zhang *et al.*, 2008; Croft *et al.*, 2013). To model spatially leaf chlorophyll content over larger spatial extents, LAI was derived empirically using the normalized difference vegetation index (NDVI), according to relationships with ground measurements of LAI taken across the growing season (Fig. 1).

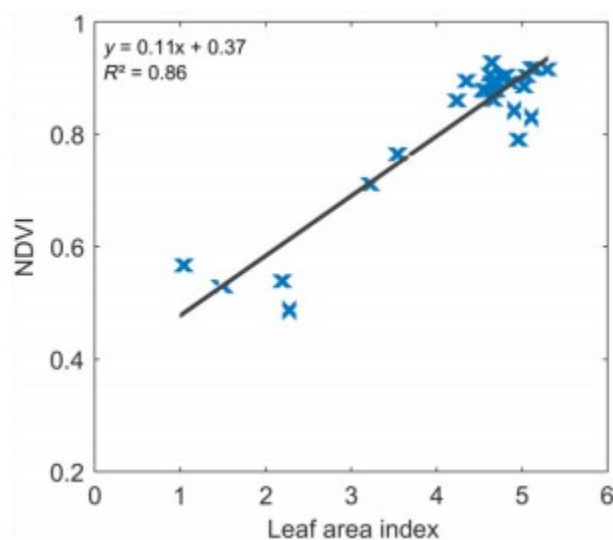


FIGURE 1 Regression between Landsat-derived NDVI and measured leaf area index

The leaf radiative transfer model PROSPECT (Jacquemoud & Baret, 1990) was then used to model leaf chlorophyll content using the derived leaf reflectance spectra. Leaf optical properties (reflectance and transmittance)

from 400 to 2500 nm are defined in PROSPECT5 as a function of six parameters: structure parameter (N), chlorophyll (a + b) concentration, dry matter, water content, carotenoid content and a brown pigment parameter to represent nonphotosynthetic leaf matter. Absorption is calculated as the linear summation of the specific absorption coefficients of biochemical constituents and their respective concentrations. The absorption coefficients were recalculated to the corresponding Landsat bands using their respective spectral response functions. PROSPECT has previously been successfully used to model chlorophyll from multispectral data (Croft *et al.*, 2015b).

## Results

### Seasonal variation in leaf biochemistry and photosynthetic processes

Temperate deciduous forests exhibit considerable temporal variation in both biochemical and structural attributes, and also physiological processes throughout the growing season. Understanding the nature of these relationships is vital for accurately modelling carbon exchange in deciduous ecosystems. Figure 2 shows the large seasonal variations in several important leaf biophysical properties and photosynthetic processes during 2014, along with the variability that exists between species, even within the same functional type. Trembling and bigtooth aspen both displayed markedly higher chlorophyll and nitrogen values than red maple and ash, in addition to higher  $V_{cmax25}$  and  $J_{max25}$  values. Lower SLA values can result in a lower leaf photosynthetic potential per area dry mass, but are compensated by increased leaf structural strength (Niinemets & Sack, 2006). However, these results show that leaf photosynthesis and stomatal conductance were also dominated by high bigtooth aspen values, despite the lower SLA, with red maple displaying the lowest values.



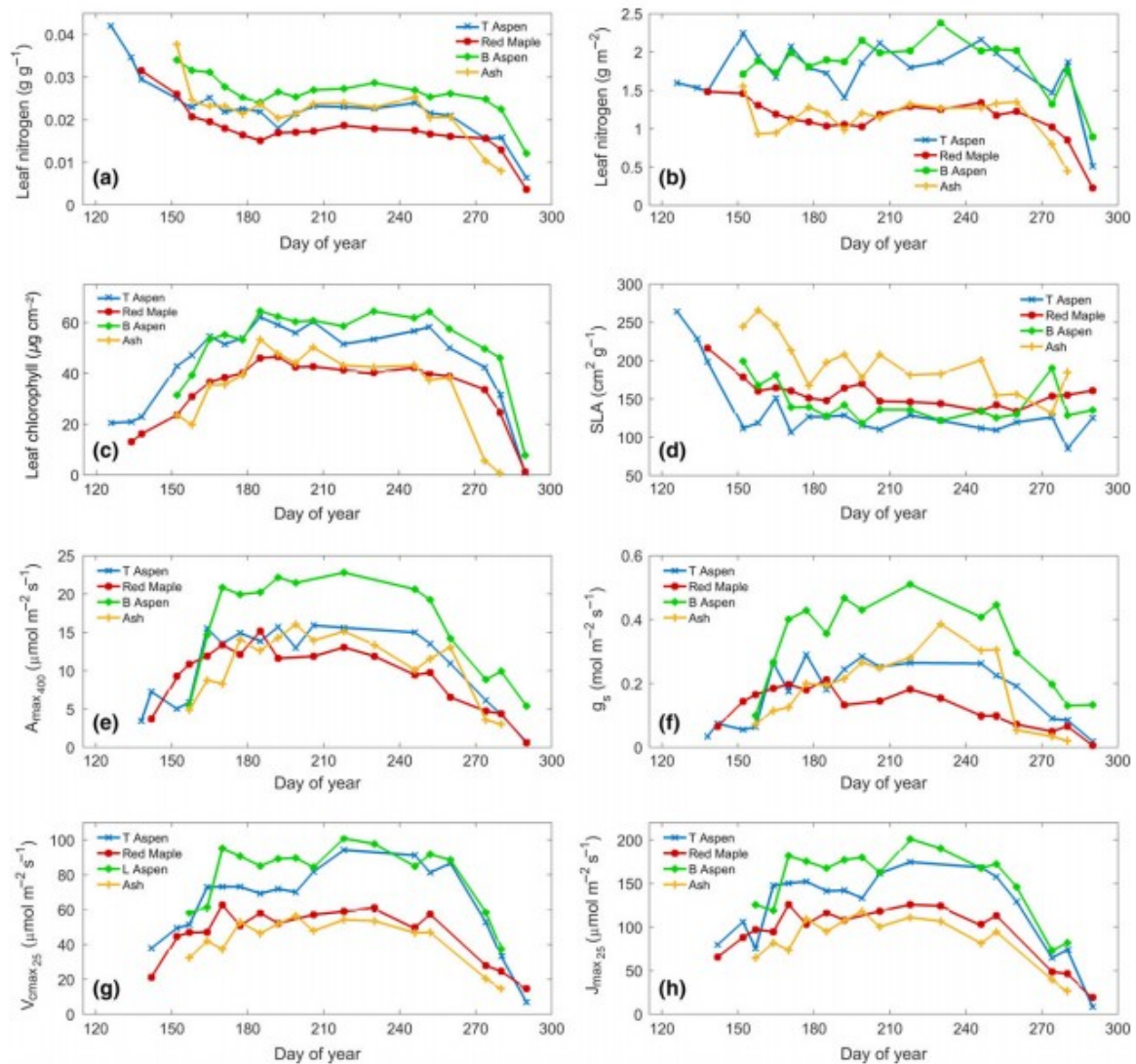


FIGURE 2 Seasonal variations in 2014 for (a) leaf nitrogen by mass ( $\text{g g}^{-1}$ ), (b) leaf nitrogen by area ( $\text{g m}^{-2}$ ); (c) leaf chlorophyll content ( $\mu\text{g cm}^{-2}$ ), (d) specific leaf area ( $\text{cm}^2 \text{g}^{-1}$ ), (e) maximum leaf photosynthesis at 400 ppm  $\text{CO}_2$  ( $A_{\text{max}400}$ ;  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), (f) stomatal conductance ( $g_s$ ;  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), (g)  $V_{\text{cmax}25}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), (h)  $J_{\text{max}25}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), for all four measured species. T Aspen and B Aspen refer to trembling aspen and bigtooth aspen, respectively.

Leaf nitrogen is expressed on a mass ( $N_{\text{Mass}}$ ; Fig. 2a) and area ( $N_{\text{Area}}$ ; Fig. 2b) basis.  $N_{\text{Mass}}$  shows maximum values following budburst at the start of the season, following by stabilization in the middle of the growing season and a decline during leaf senescence.  $N_{\text{Area}}$  trends are adjusted according to variations in SLA, with higher SLA values at the start of the season compensating for the higher  $N_{\text{Mass}}$  values seen in early season. Notably, both  $N_{\text{Mass}}$  and  $N_{\text{Area}}$  are both high at the start of the season, relative to  $\text{Chl}_{\text{Leaf}}$  (Croft *et al.*, 2014ab; Croft *et al.*, 2015a). Photosynthesis rates display a similar seasonal profile to  $\text{Chl}_{\text{Leaf}}$ , increasing slowly at the start of the season, until values stabilize in the middle of the growing season, and declining during leaf senescence. As the leaf gas-exchange measurements were taken under

controlled, repeatable and stable conditions with the same irradiance levels ( $1800 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) and temperature ( $25^\circ\text{C} \pm 1^\circ\text{C}$ ), these results indicate that the low photosynthesis rates were due to undeveloped or broken down leaf photosynthetic apparatus in the spring and fall rather than unfavorable ambient environmental conditions.

#### Relationships between leaf nitrogen and leaf chlorophyll content

Considerable research has been devoted to exploring the relationships between  $N_{\text{Leaf}}$  and  $\text{Chl}_{\text{Leaf}}$  content to use  $\text{Chl}_{\text{Leaf}}$  as a proxy for  $N_{\text{Leaf}}$  which is in turn used to constrain  $V_{\text{cmax25}}$ . This approach is taken due to the difficulty in obtaining  $N_{\text{Leaf}}$  from remote sensing techniques (Knyazikhin *et al.*, 2013), compared with chlorophyll content (Croft *et al.*, 2013, 2014c), and is justified by the underlying investment of nitrogen in chlorophyll molecules. Whilst research has found linear relationships between  $N_{\text{Leaf}}$  and  $\text{Chl}_{\text{Leaf}}$  (Sage *et al.*, 1987), little work has explored this relationship within a seasonal context (Fig. 3).

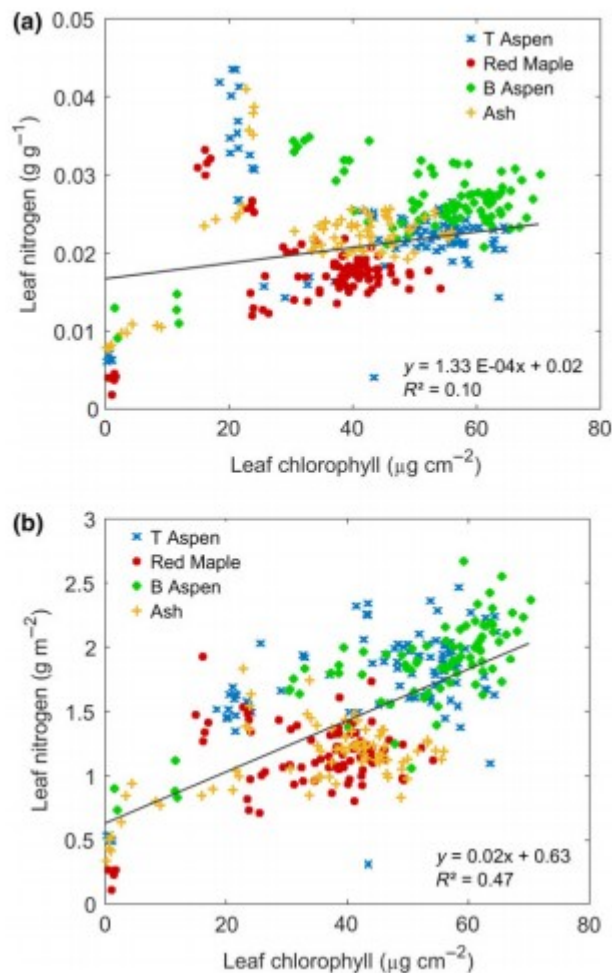


FIGURE 3 Relationships between (a) nitrogen by mass ( $\text{g g}^{-1}$ ),  $P < 0.001$ , and (b) nitrogen by area ( $\text{g m}^{-2}$ ),  $P < 0.001$ , with leaf chlorophyll content ( $\mu\text{g cm}^{-2}$ ), for all four sampled tree species.

Figure 3 clearly demonstrates the variability in the relationship between  $\text{Chl}_{\text{Leaf}}$  and both  $\text{N}_{\text{Mass}}$  and  $\text{N}_{\text{Area}}$ . Figure 3a in particular shows the divergence of the two variables at the start and end of the growing season, where two separate clusters of nitrogen values are present; one is between 0.025 and 0.045  $\text{g g}^{-1}$ , representing higher values than corresponding chlorophyll from early in the season. The second cluster is where  $\text{Chl}_{\text{Leaf}}$  is negligible during leaf senescence, but  $\text{N}_{\text{Leaf}}$  persists, likely due to investments in leaf structural components, such as cellulose. The adjustment by SLA to express nitrogen by area corrects for the start of season bias, but nitrogen is still higher than corresponding chlorophyll values at the end of the season. Figure 3 indicates the complexity in the relationship between total leaf nitrogen and leaf chlorophyll, with the partitioning of total  $\text{N}_{\text{Leaf}}$  between different nitrogen pools in the leaf, both dynamically across the growing season and according to tree species. This variability affects the overall relationship with  $\text{Chl}_{\text{Leaf}}$ , leading to weak relationships ( $R^2 = 0.10$  and  $R^2 = 0.47$ , for  $\text{N}_{\text{Mass}}$  and  $\text{N}_{\text{Area}}$ , respectively).

The ratio between  $\text{Chl}_{\text{Leaf}}$  and  $\text{N}_{\text{Area}}$  (both expressed using the same  $\mu\text{g cm}^{-2} \text{ S I}$  units) provides information on the allocation of nitrogen between photosynthetic proteins, such as RuBisCo and the chlorophyll light-harvesting pigments (Kenzo *et al.*, 2006). Figure 4 shows the seasonal variations in the  $\text{Chl}_{\text{Leaf}}/\text{N}_{\text{Area}}$  ratio for all four tree species.

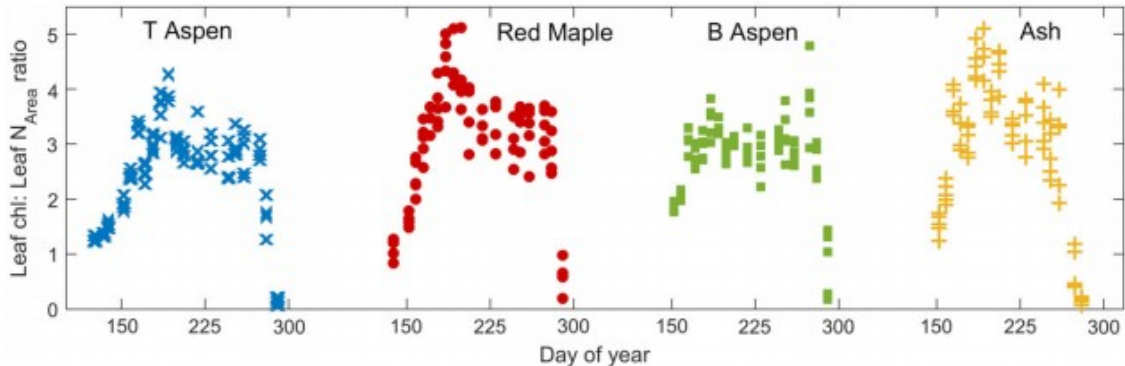


FIGURE 4 Variations in the leaf chlorophyll to leaf nitrogen ratio, across a growing season and between tree species.

Figure 4 shows the large seasonal variation in  $\text{Chl}_{\text{Leaf}}/\text{N}_{\text{Area}}$  ratios, with low values at the start of season, ranging from 0.8 for red maple to 1.2 for ash and trembling aspen. The midseason mean values (defined at the time period between asymptotes at DOY 178 and 274) were 3.8 for both ash and red maple, and 3.1 and 3.0 for trembling and bigtooth aspen, respectively. Ratios dropped at the end of the season following leaf senescence, as  $\text{Chl}_{\text{Leaf}}$  was broken down, but nitrogen pools remained in structural components of the leaf. The midseason variations between species are also noteworthy; the lower aspen  $\text{Chl}_{\text{Leaf}}/\text{N}_{\text{Area}}$  ratios were also matched by higher  $\text{Amax}_{400}$  and  $\text{Vcmax}_{25}$  values and higher  $\text{Chl}_{\text{Leaf}}$  and  $\text{N}_{\text{Area}}$  values (Fig. 2). This may indicate

that relatively more nitrogen is invested in RuBisCo than in the light-harvesting complexes in the aspen species, leading to increased photosynthetic capacity under certain environmental conditions. The temporal variability in the relationship in particular, but also the species-specific dependency, has important implications for remote sensing research that aims to use a straightforward relationship between  $N_{\text{Leaf}}$  and  $\text{Chl}_{\text{Leaf}}$  to use  $\text{Chl}_{\text{Leaf}}$  as a more reliable means of retrieving  $N_{\text{Leaf}}$ .

### Leaf biochemistry and photosynthetic capacity

Due to the difficulty in measuring  $V_{\text{cmax}}$  and  $J_{\text{max}}$  over broad spatial extents, parameterization within TBMs often assumes these values to be temporally invariant or they are calculated from more easily measurable parameters, most commonly leaf nitrogen content (Grassi *et al.*, 2005). Figure 5 investigates the relationship between  $V_{\text{cmax}_{25}}$  and  $J_{\text{max}_{25}}$  with both  $N_{\text{Area}}$  and  $\text{Chl}_{\text{Leaf}}$ .

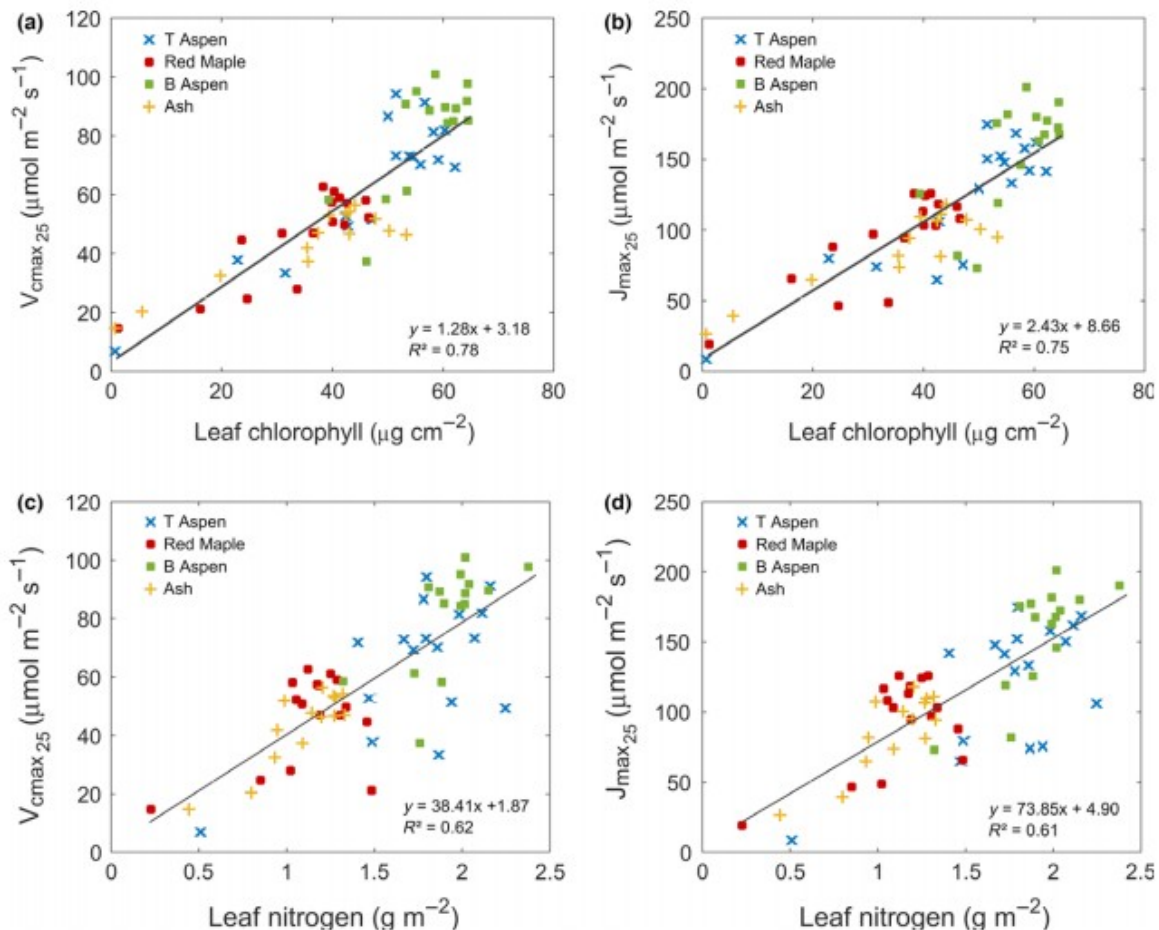


FIGURE 5 Relationships between leaf chlorophyll ( $\mu\text{g cm}^{-2}$ ) and (a)  $V_{\text{cmax}_{25}}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ); (b)  $J_{\text{max}_{25}}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ); and leaf nitrogen by area ( $\text{g m}^{-2}$ ) and (c)  $V_{\text{cmax}_{25}}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ); (d)  $J_{\text{max}_{25}}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), where in all cases  $P < 0.001$ , for 2014 data.

The results in Fig. 5 show a strong relationship between  $\text{Chl}_{\text{Leaf}}$  and both  $\text{Vcmax}_{25}$  ( $R^2 = 0.78$ ,  $P < 0.001$ ) and  $\text{Jmax}_{25}$  ( $R^2 = 0.75$ ,  $P < 0.001$ ). However, the relationships between leaf nitrogen (area) and  $\text{Vcmax}_{25}$  and  $\text{Jmax}_{25}$  were weaker ( $R^2 = 0.62$ ,  $P < 0.001$  and  $R^2 = 0.61$ ,  $P < 0.001$ , respectively). As with results presented in Fig. 3, this relationship is likely to be affected by the fraction of nitrogen invested in RuBisCo, the light-harvesting compounds and bioenergetic pathways, and also in the structural components of the leaf. It highlights the need for deriving function-specific nitrogen fractions rather than total nitrogen for modelling photosynthetic parameters, especially for use within TBMs that employ a nitrogen cycle to limit  $\text{Vcmax}_{25}$ . Consequently, these results demonstrate that the most accurate reliable means of obtaining  $\text{Vcmax}_{25}$  from remote sensing data is via  $\text{Chl}_{\text{Leaf}}$ . To improve the statistical confidence in the relationship to produce reliable empirical regressions, data collected during 2015 were also included in the  $\text{Chl}_{\text{Leaf}}$  and  $\text{Vcmax}_{25}/\text{Jmax}_{25}$  regressions (leaf nitrogen data were only collected during 2014).

Figure 6 shows that the different species, whilst exhibiting different ranges and maximum values of  $\text{Chl}_{\text{Leaf}}$  and  $\text{Vcmax}_{25}/\text{Jmax}_{25}$  occupy the same slope, suggesting that a universal equation can be used for multiple species of the same PFT, regardless of differences in biochemistry, leaf structure and photosynthetic rates. The following equations can therefore be taken forward to model  $\text{Vcmax}_{25}$  and  $\text{Jmax}_{25}$  from  $\text{Chl}_{\text{Leaf}}$  across larger spatial extents:

$$\text{Vcmax}_{25} = 1.30 \times \text{Chl}_{\text{Leaf}} (\mu\text{g cm}^{-2}) + 3.72 \mu\text{mol m}^{-2} \text{s}^{-1} \quad (2)$$

$$\text{Jmax}_{25} = 2.49 \times \text{Chl}_{\text{Leaf}} (\mu\text{g cm}^{-2}) + 10.80 \mu\text{mol m}^{-2} \text{s}^{-1} \quad (3)$$



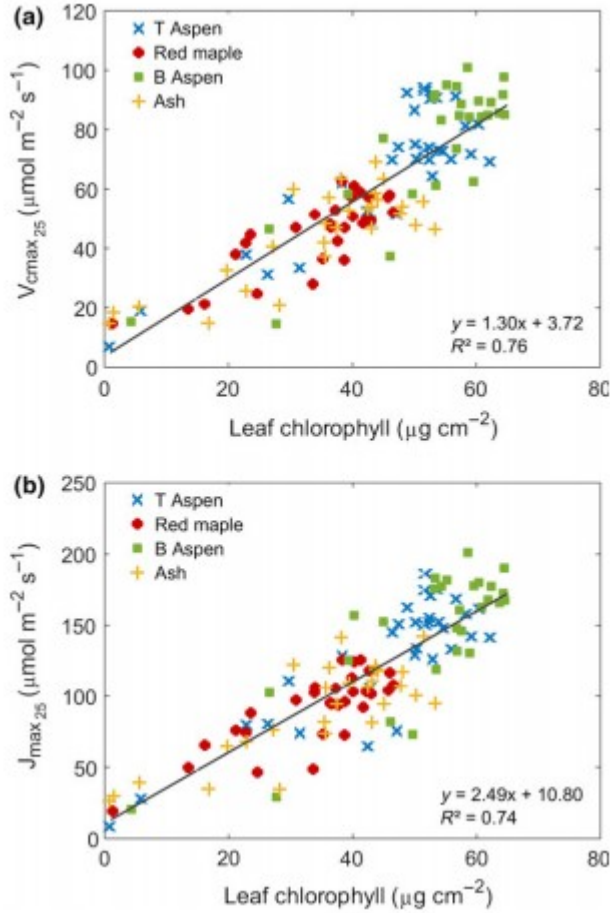


FIGURE 6 Relationships between leaf chlorophyll ( $\mu\text{g cm}^{-2}$ ) and (a)  $V_{cmax_{25}}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $P < 0.001$ , and (b)  $J_{max_{25}}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $P < 0.001$ , for 2014 and 2015 data.

### Mapping seasonal variations in $V_{cmax_{25}}$ from satellite-derived reflectance data

To obtain spatially continuous  $V_{cmax_{25}}$  values of large spatial extents for input into TBMs, the relationship between  $\text{Chl}_{\text{Leaf}}$  and  $V_{cmax_{25}}$  established in Fig. 6a can be employed (Eq. 2).  $\text{Chl}_{\text{Leaf}}$  has been reliably derived from satellite-based reflectance measurements, using radiative transfer models (Croft *et al.*, 2013). Figure 7 demonstrates the seasonal relationship between measured and modelled leaf chlorophyll content for three growing seasons (2013–2015), and the overall regression between the two variables. The leaf chlorophyll content shown in Fig. 7 was a weighted average of the four sampled species according to the forest composition (Teklemariam *et al.*, 2009). The modelled  $\text{Chl}_{\text{Leaf}}$  values represent all the available cloud-free dates acquired from Landsat satellite images for the Borden Forest.

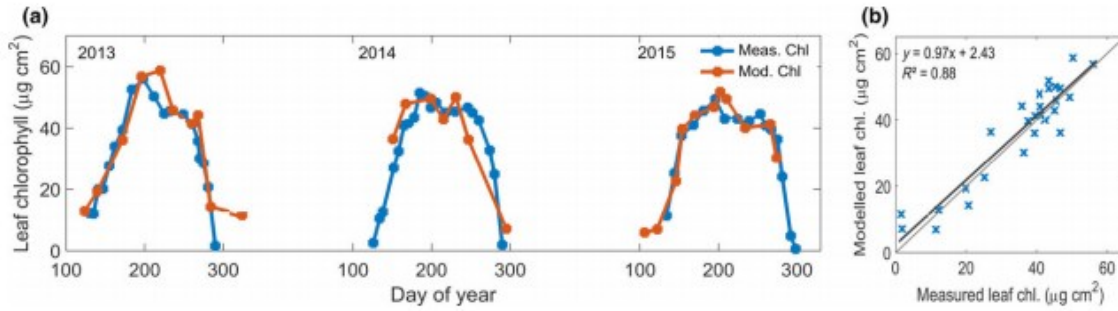


FIGURE 7 The relationship between modelled and measured leaf chlorophyll content over three growing seasons: (a) by date and (b) as an overall regression ( $P < 0.001$ ).

The accuracy of the retrieval of modelled chlorophyll content against measured leaf chlorophyll, in terms of capturing the temporal variation and the overall relationship ( $R^2 = 0.88$ ,  $P < 0.01$ ), demonstrates the choice of using  $\text{Chl}_{\text{Leaf}}$  as a reliable proxy for modelling  $\text{Vcmax}_{25}$  over space and time. It is important not only that the biochemical parameter has a strong relationship with  $\text{Vcmax}_{25}$ , but also that the parameter can be modelled accurately from remotely sensed data.

The spatial variations in  $\text{Vcmax}_{25}$  for the study area are shown at selected dates for the 2015 season, along with a corresponding LAI map for each date (Fig. 8).

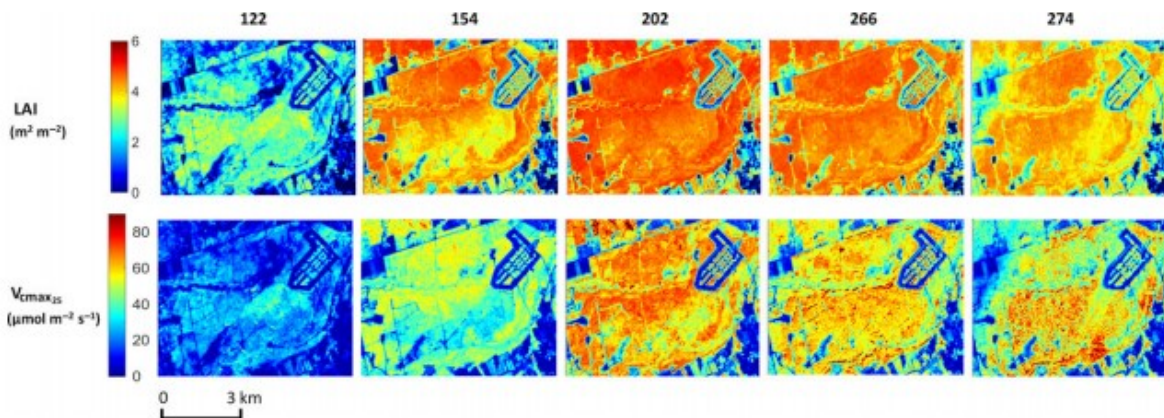


FIGURE 8 Mapped values of LAI (first panel) and  $\text{Vcmax}_{25}$  (second panel) from Landsat satellite data across selected dates (day of year) of the 2015 growing season.

The mapped  $\text{Vcmax}_{25}$  results show considerable temporal and spatial variability across the site, with lower values seen at the start of the growing season, and maximum values in the middle of the season at DOY 202. The decline in  $\text{Vcmax}_{25}$  at the end of the season appears more patchy and fractionated than the start of the season. Higher values are generally seen towards the top portion of the site, with spatial variations likely due to species variability. The LAI maps are shown to demonstrate the deviation between physiology and canopy structure, where LAI is more spatially consistent in the middle of the season (202–266) and also as  $\text{Vcmax}_{25}$  begins

to decline. This also demonstrates the importance in distinguishing overall leaf area from leaf photosynthetic capacity (Croft *et al.*, 2014b, 2015a).

## Discussion

### Impacts of seasonal variations in leaf nitrogen content

It is well established that  $N_{\text{Leaf}}$  is an important regulator of vegetation productivity and carbon fluxes at a range of spatial scales (LeBauer & Treseder, 2008; Xu *et al.*, 2012), and this is because approximately 50% of leaf nitrogen is invested in its photosynthetic apparatus (Niinemets & Sack, 2006). Consequently, many TBMs constrain photosynthetic capacity using fixed relationships between leaf nitrogen content (modulated by nitrogen supply in the soil) and  $V_{\text{cmax}}$  (Dietze, 2014). However, the statistical nature of this relationship varies according to a number of environmental conditions, including the light regime, leaf ontogeny,  $\text{CO}_2$  concentration and temperature. In contrast to some other studies, who found relatively stable relationships between  $N_{\text{Leaf}}$  and  $V_{\text{cmax}}$  (Ellsworth *et al.*, 2004; Kattge *et al.*, 2009), this research found weaker correlations between  $V_{\text{cmax}}$  and  $N_{\text{Area}}$  ( $R^2 = 0.62$ ,  $P < 0.001$ ), which was in large part due to the divergence in the seasonal profile of both variables (Fig. 2), confirming previous studies that found that leaf physiological development continues longer than morphological development (Wilson *et al.*, 2001; Xu & Baldocchi, 2003; Croft *et al.*, 2014b). The high leaf nitrogen results at the start of the season are due to inorganic N present in buds prior to leaf flushing (Migita *et al.*, 2007). During senescence, approximately half the  $N_{\text{Leaf}}$  content is reabsorbed into storage organs (Migita *et al.*, 2007). However, considerable scatter was also found in the middle of the growing season, both within and between different tree species. The partitioning of leaf nitrogen between different fractional pools is dynamic and changes with time and species, according to demand and factors such as growth optimization and environmental drivers (Xu *et al.*, 2012). This partitioning involves the distribution of leaf nitrogen among photosynthetic compounds (Hikosaka & Terashima 1998; Hikosaka *et al.* 1996) and also its overall partitioning between photosynthetic and nonphotosynthetic pools (Hikosaka & Terashima 1996; Hikosaka *et al.* 1998). Total  $N_{\text{Leaf}}$  is not an ideal proxy for photosynthetic capacity directly or through its relationship with  $\text{Chl}_{\text{Leaf}}$ , due to the large and dynamic nitrogen investment in nonphotosynthetic pools, and the changing nitrogen allocation between the RuBisCo and light-harvesting fractions, as a result of different irradiance conditions (Kenzo *et al.*, 2006). This has important implications for remote sensing approaches focussed on modelling  $N_{\text{Leaf}}$  directly or using  $N_{\text{Leaf}}$  as an intermediary (Houborg *et al.*, 2015). Previous studies have reported that nitrogen is a relatively constant fraction of  $\text{Chl}_{\text{Leaf}}$ , with  $\text{Chl}_{\text{Leaf}}/N_{\text{Leaf}}$  ratios of  $3.8 \pm 0.3$  for agricultural species of different photosynthetic pathways (Field & Mooney, 1986; Sage *et al.*, 1987; Houborg *et al.*, 2013). Our results demonstrated that this constant 3.8 ratio was reasonable in the middle of the growing season for two sunlit deciduous species (red maple and ash), although values were 3.1 and 3.0 for Trembling and bigtooth aspen,



respectively. However,  $\text{Chl}_{\text{Leaf}}/\text{N}_{\text{Leaf}}$  ratios at the start of season were much lower, with minimum values ranging from 0.8 for red maple to 1.2 for ash and aspen, with lower ratios also seen at the end of the season.

Physiological basis for leaf chlorophyll as a proxy for photosynthetic capacity

The results in this study demonstrate that it is more accurate to use  $\text{Chl}_{\text{Leaf}}$  to model  $\text{V}_{\text{cmax}_{25}}$  directly, rather than via  $\text{N}_{\text{Area}}$ . This also reduces the additional error associated with the  $\text{Chl}_{\text{Leaf}}-\text{N}_{\text{Area}}$  relationship ( $R^2 = 0.47$ ),  $P < 0.001$ , when  $\text{Chl}_{\text{Leaf}}$  is used as an intermediary in remote sensing approaches, due to the difficulty in obtaining  $\text{N}_{\text{Area}}$  directly. The direct use of  $\text{Chl}_{\text{Leaf}}$  also has a physiological basis, due to the inherent dependence of photosynthesis on chlorophyll molecules as the primary means of harvesting light energy to drive the electron transport reactions. The first stage in photosynthesis is the absorption of light energy by chlorophyll molecules embedded in the thylakoid membranes of chloroplasts. Light quanta harvested by chlorophyll molecules in Photosystem II provides the energy to supply electrons, through the electron transport chain to Photosystem I via the cytochrome  $b_6f$  complex, to produce NADPH and chemical energy as ATP for the reactions of the Calvin-Benson cycle. The amount of light absorbed by a leaf has been shown to be related to  $\text{Chl}_{\text{Leaf}}$  across a number of different plant species (Evans, 1996; Evans & Poorter, 2001), with the potential rate of electron transport  $J$  ( $\mu\text{mol electrons m}^{-2} \text{s}^{-1}$ ) in turn dependent on leaf-absorbed PAR ( $\phi$ ;  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ ), according to the following:

$$0.7J^2 = (J_{\text{max}} + 0.385\phi)J + 0.385J_{\text{max}}\phi \quad (4)$$

The rate of electron transport is therefore a function of incident PAR and the efficiency of a leaf's light-harvesting apparatus (i.e. chlorophyll) (Collatz *et al.*, 1991; Sellers *et al.*, 1992). Therefore, whilst  $\text{Chl}_{\text{Leaf}}$  is theoretically more closely related to  $J_{\text{max}_{25}}$  than to  $\text{V}_{\text{cmax}_{25}}$  which is proportional to leaf RuBisCo content (Bonan, 2015), a consistent linear relationship between  $J_{\text{max}_{25}}$  and  $\text{V}_{\text{cmax}_{25}}$  is found across a large range of species (Medlyn *et al.*, 2002). Experimentally, Singaas *et al.* (2004) also demonstrated that RuBP regeneration capacity increased linearly with total leaf chlorophyll content.

Towards mapping  $\text{V}_{\text{cmax}_{25}}$  at global scales

The results presented in this study indicate that  $\text{Chl}_{\text{Leaf}}$  has strong potential to be used as a proxy for photosynthetic capacity and provide spatially explicit  $\text{V}_{\text{cmax}_{25}}$  maps for incorporation into global TBMs. The use of  $\text{Chl}_{\text{Leaf}}$  removes the need for empirical corrections according to leaf ontogeny for N-Vcmax relationships proposed when using  $\text{N}_{\text{Area}}$  in TBMs (Wilson *et al.*, 2001; Grassi *et al.*, 2005). It is recognized that this approach has only been demonstrated at a mixed deciduous forest site and needs to be investigated in other ecosystems, with different species assemblages, nutrient supply, light conditions, temperature ranges and moisture availability. The use of  $\text{Chl}_{\text{Leaf}}$ , over  $\text{N}_{\text{Area}}$  in particular, is especially valuable in being able to capture seasonal trends in  $\text{A}_{\text{max}_{400}}$ , and also  $\text{V}_{\text{cmax}_{25}}/\text{J}_{\text{max}_{25}}$ , which may correct

start/end of season overestimations in modelled GPP values (Croft *et al.*, 2015a). An alternative remote sensing approach for producing  $V_{\text{cmax}25}$  spatial products is through the use of solar-induced chlorophyll fluorescence (Zhang *et al.*, 2014). Whilst these techniques are promising, measurements are only typically available at very coarse spatial resolutions (i.e. GOME-2 –  $40 \times 80 \text{ km}^2$ ), compared to optical sensors which typically operate in the decametre to hectometre range. A significant operational limitation to parameterizing  $V_{\text{cmax}25}$  using  $\text{Chl}_{\text{Leaf}}$  at large spatial scales is the absence of accurate  $\text{Chl}_{\text{Leaf}}$  products at regional or global scales, which has largely been hampered by a lack of satellite sensors sampling over chlorophyll-sensitive wavelengths (predominately ‘red-edge bands’). At local scales, modelled  $\text{Chl}_{\text{Leaf}}$  results have shown some success using empirical vegetation indices (Wu *et al.*, 2008); however, the empirical nature of these relationships means that they have been difficult to apply over larger spatial extents, time intervals and different species (Croft *et al.*, 2014c). The inversion of physically based radiative transfer models (Zhang *et al.*, 2008; Houborg *et al.*, 2009; Croft *et al.*, 2013) has shown potential for applying these techniques over broader spatial scales and also using a reduced number of spectral bands (Croft *et al.*, 2015b). Thus, the prospect of obtaining spatially distributed chlorophyll measurements across global scales is becoming more achievable.

#### Implications for global terrestrial biosphere modelling

Thus far, TBMs have largely treated photosynthetic parameters as either fixed constants or varying according to empirical relationships with  $N_{\text{Leaf}}$ . However, this paper has challenged the assumptions that 1) total leaf nitrogen data (both by  $N_{\text{Mass}}$  and  $N_{\text{Area}}$ ) are reliable parameters in constraining  $V_{\text{cmax}25}$  within TBMs, even within the same functional type, and 2) that  $\text{Chl}_{\text{Leaf}}$  can be used to accurately retrieve  $N_{\text{Leaf}}$  for application over large spatial scales. This research particularly emphasizes the confounding influence of temporal and species-specific variations of nitrogen contained in structural fractions and highlights the necessity of quantitatively specifying the relevant nitrogen pool rather than using total  $N_{\text{Leaf}}$ . This identifies a knowledge gap with respect to current implementations of a nitrogen cycle in TBMs wherein  $N_{\text{Leaf}}$  is used to limit  $V_{\text{cmax}25}$  without accounting for its partitioning to photosynthetic and structural components, the controls of which are not yet fully realized. The following findings are important contributions for improving the accuracy of modelled carbon exchange within global terrestrial models:

1. Total nitrogen content is not an accurate proxy for  $V_{\text{cmax}25}$  ( $N_{\text{Area}}$ ,  $R^2 = 0.62$ ;  $P < 0.001$ ) particularly in a seasonal context where large variations in  $V_{\text{cmax}25}$  exist. Whilst nitrogen fractions invested in photosynthetic proteins (i.e. RuBisCo nitrogen) may show a strong relationship with  $V_{\text{cmax}25}$ , instead it is more accurate to use  $\text{Chl}_{\text{Leaf}}$  directly to model  $V_{\text{cmax}25}$  ( $R^2 = 0.76$ ;  $P < 0.001$ ), which also reduces the additional error associated with the  $\text{Chl}_{\text{Leaf}}-N_{\text{Area}}$  relationship ( $R^2 =$

0.47;  $P < 0.001$ ) and does not require corrections for variations in leaf structure.

2. The relationship between leaf chlorophyll content and total leaf nitrogen ( $N_{\text{Area}}$ ,  $R^2 = 0.47$ ;  $P < 0.001$ ;  $N_{\text{Mass}}$ ,  $R^2 = 0.10$ ;  $P < 0.001$ ) is complex and subject to several sources of variability, including the dynamic partitioning of nitrogen between photosynthetic and nonphotosynthetic pools, and also within the different photosynthetic fractions, for example, due to changing illumination conditions. This result has large implications for remote sensing research, which has faced difficulty directly retrieving leaf nitrogen due to the absence of strong spectral absorption features compared to  $\text{Chl}_{\text{Leaf}}$  and instead often derives leaf nitrogen content from relationships with  $\text{Chl}_{\text{Leaf}}$ .
3.  $V_{\text{cmax25}}$  shows considerable variability across a growing season, and between plant species, even within the same plant functional type. This result confirms previous suggestions that using a fixed  $V_{\text{cmax25}}$  value within TBMs is likely to lead to large errors in modelled photosynthesis within ecosystems with a large seasonal range in photosynthetic capacity. Further, it confirms that it is also not adequate to fix  $V_{\text{cmax25}}$  values for given plant functional types and that spatially and temporally continuous  $V_{\text{cmax25}}$  estimates are needed to increase the reliability of carbon balance predictions under current and future climate scenarios.

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